

**Contact details**

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**ADDITIONAL INFORMATION ADDENDUM**

**B. Individual(s)**

*Please complete this section if you are a Foreign Investor who is investing individually or if you are a beneficial owner or controlling person of a trust or company.*

**Applicant / Beneficial Owner / Controlling Person 1 (incl. Individual Trustee)**

Foreign tax status information

*Tax residency rules differ between countries. Whether an individual is tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the United States (US), tax residency can be as a result of citizenship or residency.*

*Please answer **both** tax residency questions:*

Is the applicant a tax resident of Australia?      Yes      No

Is the applicant a tax resident of another country?      Yes      No

If the applicant is a tax resident of a country other than Australia, please provide their tax identification number (TIN) or equivalent below. If they are a tax resident of more than one other country, please list all relevant countries below.

*A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia or a Social Security Number in the US. If a TIN is not provided, please list one of the three reasons specified (A, B or C) for not providing a TIN.*

1. Country:		TIN:	If no TIN, list reason A, B or C
2. Country:		TIN:	If no TIN, list reason A, B or C
3. Country:		TIN:	If no TIN, list reason A, B or C

*If there are more countries, provide details on a separate sheet.*

<b>Reason A</b>	The country of tax residency does not issue TINs to tax residents
<b>Reason B</b>	The individual has not been issued with a TIN
<b>Reason C</b>	The country of tax residency does not require the TIN to be disclosed

**Applicant / Beneficial Owner / Controlling Person 2 (incl. Individual Trustee)**

Foreign tax status information

*Tax residency rules differ between countries. Whether an individual is tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the United States (US), tax residency can be as a result of citizenship or residency.*

Please answer **both** tax residency questions:

Is the individual a tax resident of Australia?      Yes      No

Is the individual a tax resident of another country?      Yes      No

If the applicant is a tax resident of a country other than Australia, please provide their tax identification number (TIN) or equivalent below. If they are a tax resident of more than one other country, please list all relevant countries below.

*A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia or a Social Security Number in the US. If a TIN is not provided, please list one of the three reasons specified (A, B or C) for not providing a TIN.*

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## C. Trusts

Please complete this section if you are a Foreign Investor that is a trust or a regulated foreign entity. Do NOT complete if the trust is a registered managed investment scheme, SMSF or government superannuation fund.

### Section C5 – Tax status of Foreign Controlling Persons

The information requested below must be provided for the following types of entities:

Financial Institution which is an Investment Entity located in a Non-Participating CRS Jurisdiction and is not managed by another Financial Institution
Other (Passive Non-Financial Entities)

A Controlling Person is any individual who directly or indirectly exercises control over the Fund. For a Fund, this includes all Trustees, Settlers, Protectors or Beneficiaries. For a Trustee company this includes any beneficial owners controlling more than 25% of the shares in the company or Senior Managing Officials.

Tax residency rules differ by country. Whether an individual is tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the US, tax residency can also be as a result of citizenship or residency.

Are any of the applicant's Controlling Persons tax residents of countries other than Australia?

Yes

No

If the trustee is a company, are any of this company's Controlling Persons tax residents of countries other than Australia?

Yes

No

If Yes to either of the questions above, please name the relevant Controlling Persons and tax residence:

Beneficial Owner name	Country of tax residence

### Country of tax residency

The information requested below must be provided for the following types of entities:

Financial Institution which is an Investment Entity located in a Non-Participating CRS Jurisdiction and is not managed by another Financial Institution
Australian Registered Charity or Deceased Estate
Foreign Charity or Active Non-Financial Entity
Other (Passive Non-Financial Entities)

Is the trust a tax resident of a country other than Australia?

Yes

No

If Yes, please provide the trust's country of tax residence and tax identification number (TIN) or equivalent below. If the Trust is a tax resident of more than one other country, please list all relevant countries below.

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## D. Companies

Please complete this section if you are a Foreign Investor or if you are a public company.

**Section D4 – Regulatory and listing details** (complete the following category which applies to the company)

**Regulated company** (subject to the supervision of a Commonwealth, State or Territory statutory regulator beyond that provided by ASIC as company registration body. Examples include Australian Financial Services Licensees (AFSL); Australian Credit Licensees (ACL); or Registrable Superannuation Entity (RSE) Licensees)

Regulator Name:

Licence Details (e.g. AFSL, ACL, RSE):

**Australian listed company or foreign listed company**

Name of market/exchange:

**Majority-owned subsidiary of an Australian listed company**

Australian listed company name:

Name of market/exchange:

**Foreign company**

Country of formation/incorporation/registration:

## Section D5 – Foreign tax status information

Tick ONE of the boxes below (if the applicant is a Financial Institution, please provide all the requested information below)

**A Financial Institution** (A custodial or depository institution, an investment entity or a specified insurance company for FATCA / CRS purposes)

Provide the company's Global Intermediary Identification Number (**GIIN**), if applicable

If the company is a Financial Institution but does not have a GIIN, provide its FATCA status (select ONE from the following types of Financial Institution below)

Deemed Compliant Financial Institution

Exempted Financial Institution

Exempt Beneficial Owner

Non-Reporting IGA Financial Institution

Non-Participating IGA Financial Institution

US Financial Institution

Other (please describe FATCA status)

Is the Financial Institution an Investment Entity located in a Non-Participating CRS Jurisdiction and managed by another Financial Institution?

Yes

No

CRS Participating Jurisdictions are on the OECD website at <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/crs-by-jurisdiction>.

**Australian Public Listed Company, Majority Owned Subsidiary of an Australian Public Listed Company or Australian Registered Charity** (Public listed companies or majority owned subsidiaries of Australian listed companies that are not Financial Institutions as described above or a company that is an Australian registered charity)

**Foreign Public Listed Company, Majority Owned Subsidiary of a Foreign Public Listed Company Governmental Entity, International Organisation or Central Bank** (Public listed companies or majority owned subsidiaries of Australian listed companies that are not Financial Institutions as described above or a company that is an Australian registered charity)

**An Active Non-Financial Entity (NFE) or Foreign Charity** (*Active NFEs include entities where, during the previous reporting period, less than 50% of their gross income was passive income (e.g. dividends, interests and royalties) and less than 50% of assets held produced passive income. For other types of Active NFEs, refer to Section VIII in the Annexure of the OECD 'Standard for Automatic Exchange of Financial Account Information' at [www.oecd.org](http://www.oecd.org).*)

**Other** (Entities that are not previously listed – Passive Non-Financial Entities)

### Tax status of Beneficial Owners

The information requested below must be provided for the following types of entities:

Type of company	Category or categories
Australian company	Other (Passive Non-Financial Entities)
Foreign company	Financial Institution which is an Investment Entity located in a Non-Participating CRS Jurisdiction and is not managed by another Financial Institution Other (Passive Non-Financial Entities)

Tax residency rules differ by country. Whether an individual is tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the US, tax residency can also be as a result of citizenship or residency.

Are any of the applicant's Beneficial Owners tax residents of countries other than Australia?

Yes

No

If Yes, please name the relevant Beneficial Owners and tax residence:

Beneficial Owner name	Country of tax residence

**Country of tax residency**

The information requested below must be provided for the following types of entities:

Type of company	Category or categories
Australian company	Other (Passive Non-Financial Entities)
Foreign company	Financial Institution which is an Investment Entity located in a Non-Participating CRS Jurisdiction and is not managed by another Financial Institution  Foreign Charity  Active Non-Financial Entity  Other (Passive Non-Financial Entities)

Is the company a tax resident of a country other than Australia?

Yes No

If Yes, please provide the company's country of tax residence and tax identification number (TIN) or equivalent below. If the company is a tax resident of more than one other country, please list all relevant countries below.

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